



**State of Illinois**

State Internal Audit Advisory Board

**SIAAB 2018 Fall  
Government  
Auditing  
Conference**

**October 23-24, 2018**

*Optional Seminar on:  
October 25, 2018*

Northfield Inn, Suites &  
Conference Center  
Springfield, IL

Co-Sponsored by the Springfield  
Chapter of The Institute of  
Internal Auditors



# State of Illinois

## State Internal Audit Advisory Board

2018 Fall Government Auditing Conference  
October 23-24, 2018

The State Internal Audit Advisory Board (SIAAB) is proud to once again co-sponsor this year's Fall Government Auditing Conference with the Springfield Chapter of the Institute of Internal Auditors.

Part of SIAAB's mission is to serve as a clearinghouse for the correlation of internal audit training needs among the State's internal audit agencies. This year's conference offers professional speakers and a wide variety of topics. We hope that the range of topics offered at this conference will be beneficial to all participants and their agencies.

Please take this opportunity to join us in Springfield for the 2018 Fall Government Auditing Conference. For only \$99, you can earn up to 14 hours of CPE, plus an additional 3 hours of CPE are available at an optional half-day Springfield IIA Chapter session at a cost of \$40 for members and \$55 for nonmembers, and \$25 for students. We would also like to invite you to learn more about SIAAB by visiting our Web site at:

<http://siaab.audits.uillinois.edu>.

Leighann Manning, CGAP  
SIAAB Chair  
Chief Internal Auditor  
Illinois Office of the Treasurer

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## THINGS TO DO IN SPRINGFIELD

- Abraham Lincoln Presidential Museum & Library
- Dana Thomas House
- Lincoln's Home, National Historic Site
- Lincoln's Tomb
- Illinois State Capitol
- Henson Robinson Zoo
- White Oaks Mall

And much more!



Venture out and explore the Land of Lincoln!

Springfield is bursting with things to see and do. Whether you are looking for something upbeat or low key, Springfield has it all. The opportunities are endless!

Springfield is also known for its unique dining and locally owned restaurants. From the city's signature horseshoe sandwich to Caribbean cuisine, Springfield's restaurants offer an eclectic selection to pamper any palate.

Additional information about the many attractions in and around Springfield can be found at <http://www.visit-springfieldillinois.com>.

## HOTEL ACCOMMODATIONS

The venue, **Northfield Inn, Suites & Conference Center** in Springfield, Illinois, is offering a special discount rate the night before each conference date.

The **Northfield Inn** provides free parking, and is located at:

**3280 Northfield Drive  
Springfield, IL 62702**

Reservations are to be made directly with the **Northfield Inn** by calling **217-523-7900**. The block of rooms is reserved under the name of **Institute of Internal Auditors** at the State rate of \$70 (plus tax). **The block of rooms will be released on October 8, 2018**. After the cutoff date, there is no guarantee that rooms will be available at State rates.

The **Northfield Inn** provides **complementary shuttle service** to/from Amtrak, airport, and bus stations upon request. We have arranged a survey to help those traveling with shuttle service:

<http://www.eiseverywhere.com/esurvey/2018-conf-shuttle>

Additional information about the **Northfield Inn** can be found at <http://www.northfieldinn.com>



## 2018 SIAAB Fall Government Auditing Conference

Co-Sponsored by the Springfield Chapter of  
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### DATE

Tuesday, October 23, 2018 and Wednesday, October 24, 2018

### FIELD OF STUDY

Governmental Accounting and Auditing

### COURSE OBJECTIVE

Increase professional accounting and auditing skills pertaining to:

- Asset Misappropriation by Government Employees
- Fraud in the Public Sector
- Illinois Ethics Act's Revolving Door Prohibitions
- Information Technology Concerns in State Government
- Internal Control Principles in Government
- Preventing Ethical Lapses in State Government
- Vendor Management & Procurement

### COURSE LEVEL

- External / Internal Audit Staff;
- External / Internal Audit Supervisors;
- External / Internal Audit Managers; and
- Chief Internal Auditors / Chief Audit Executives.

(No advanced preparation required.)

**LOCATION:** Northfield Inn, Suites & Conference Center

### MAXIMUM CPE OFFERED:

- **14 Hours** for attending the 2018 SIAAB Fall Government Conference
- **3 Hours optional** CPE provided by the Springfield IIA Chapter on Thursday, October 25, 2018 from 1:00 p.m. to 4:00 p.m.

### COURSE FEES:

- **\$99 fee** for the 2018 SIAAB Fall Government Conference
- **\$40 (Member), \$55 (Nonmember), and \$25 (Student) fee** for the optional Springfield IIA Chapter half-day session on October 25, 2018

### CPE SPONSOR LICENSE REGISTRATIONS:

- State Internal Audit Advisory Board (SIAAB) – IDFPR # 158001129
- Springfield Institute of Internal Auditors (IIA) – IDFPR # 158001595  
<https://ilesonline.idfpr.illinois.gov/DFPR/Lookup/LicenseLookup.aspx>



## Tuesday, October 23, 2018 Agenda

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- 07:45 a.m. – 08:30 a.m.      REGISTRATION / BREAKFAST / SIGN-IN
- 08:30 a.m. – 08:35 a.m.      OPENING REMARKS  
Leighann Manning, CGAP  
SIAAB Chair  
Chief Internal Auditor, Illinois Office of the Treasurer
- 08:35 a.m. – 09:40 a.m.      The Ethics Act’s Revolving Door Prohibition  
Chad Fornoff, Director, Executive Ethics Commission (EEC)
- 09:40 a.m. – 10:00 a.m.      BREAK
- 10:00 a.m. – 10:55 a.m.      Legislative Audit Commission (LAC)  
Jane Stricklin, Executive Director of the LAC
- 10:55 a.m. – 11:05 a.m.      SHORT BREAK
- 11:05 a.m. – 12:00 noon      Internal Controls for Vendor Management & Procurement  
Ron Steinkamp, CPA, CIA, CFE, CRMA, CGMA, CCA, CCP  
Partner, Advisory/Public Sector, Brown Smith Wallace, LLP
- 12:00 p.m. – 01:00 p.m.      LUNCH AND ANNOUNCEMENTS
- 01:00 p.m. – 02:00 p.m.      Preventing Ethical Lapses in State Government  
Diane Saltoun, Executive Inspector General  
Patricia McConnell, Director of Investigations  
Executive Inspector General for the Illinois Attorney General
- 02:00 p.m. – 02:20 p.m.      BREAK
- 02:20 p.m. – 03:15 p.m.      Blockchain: An Introduction and Potential Use Cases  
Sean T. O’Kelly, Chief Information Officer,  
Department of Financial and Professional Regulation
- 03:15 p.m. – 03:25 p.m.      SHORT BREAK
- 03:25 p.m. – 04:20 p.m.      Artificial Intelligence – Strategy and Roadmap for Illinois  
Krishna V. Iyer, Chief Data Scientist  
Department of Innovation and Technology (DoIT)
- 04:20 p.m.                      WRAP-UP AND ADJOURNMENT

## Wednesday, October 24, 2018 Agenda

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**Dennis F. Dycus**

<http://www.dennisdycus.com/>

**Mr. Dennis F. Dycus, CPA, CFE, CGFM**, is a nationally renowned trainer, consultant, and public speaker. In June 2012, he retired as the Director of the Division of Municipal Audit after 39 years with the Office of the Comptroller of the Treasury of the State of Tennessee. His responsibilities included the oversight of the financial audits of local governments in Tennessee, as well as conducting investigations related to fraud, waste, and abuse in local governments. Since his retirement, Mr. Dycus has continued his development and presentation of continuing education programs for state CPA societies/associations, local governments, other professional associations, and private sector firms as well as offering consulting services and speaking at local, state and national professional conferences.

07:45 a.m. – 08:30 a.m.	REGISTRATION / BREAKFAST / SIGN-IN
08:30 a.m. – 08:35 a.m.	OPENING REMARKS Jay Wagner, CIA, CFE, CISA SIAAB Conference Coordinator Chief Internal Auditor, Illinois Office of the Attorney General
08:35 a.m. – 10:05 a.m.	Why Auditors Fail to Detect Fraud Mr. Dennis Dycus, CPA, CFE, CGFM
10:05 a.m. – 10:25 a.m.	BREAK
10:25 a.m. – 12:00 noon	Evaluating Internal Controls Mr. Dennis Dycus, CPA, CFE, CGFM
12:00 noon – 1:00 p.m.	LUNCH AND ANNOUNCEMENTS
1: 00 p.m. – 02:30 p.m.	Asset Misappropriation by Government Employees Mr. Dennis Dycus, CPA, CFE, CGFM
02:30 p.m. – 02:50 p.m.	BREAK
02:50 p.m. – 04:20 p.m.	Walkin' , Talkin' , Lookin' , and Listenin' (or, Things Most Auditors Don't Do Very Well) Mr. Dennis Dycus, CPA, CFE, CGFM
04:20 p.m.	WRAP-UP AND ADJOURNMENT

### Thursday, October 25, 2018 Agenda (Optional IIA Training)

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|-------------------------|---|
| 12:00 noon – 01:00 p.m. | LUNCH<br>Box Lunch Served   |
| 01:00 p.m. – 02:20 p.m. | IT Audit Controls for Non-IT Auditors<br>Dan Argynov, Consulting Manager, Risk, Audit, Cybersecurity<br>Amy Diestler, Senior Consultant, Public Sector<br>Baker Tilly Virchow Krause, LLP             |
| 02:20 p.m. – 02:40 p.m. | BREAK   |
| 02:40 p.m. – 04:00 p.m. | IT Audit Controls for Non-IT Auditors (Continued)<br>Dan Argynov, Consulting Manager, Risk, Audit, Cybersecurity<br>Amy Diestler, Senior Consultant, Public Sector<br>Baker Tilly Virchow Krause, LLP |

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### REGISTRATION FEES:

**\$99** for the 2018 SIAAB Fall Government Auditing Conference,  
October 23, 2018 and October 24, 2018  
(Fee includes Continental Breakfast, Lunch, & Refreshments on October 23 and 24.)

**\$40** (Member fee), **\$55** (Nonmember fee), **\$25** (Student fee) for the  
optional Springfield IIA Chapter half-day training session  
on October 25, 2018 at 1:00 p.m.

(Fee includes Box Lunch served at 12:00 p.m., & Refreshments.)

### CONFERENCE REGISTRATION

Payments are accepted online by credit card, by mail, or by paying at the door on  
the day of the training. State of Illinois agencies will be invoiced.

#### Make Checks Payable to

THE INSTITUTE OF INTERNAL AUDITORS – SPRINGFIELD  
(FEIN 37-1088763)

#### Please Mail Checks to

The Institute of Internal Auditors – Springfield Chapter  
P.O. Box 205, Springfield, IL 62705

Please register on-line by **noon** on **October 19, 2018** at:  
SIAAB Fall Conference:

<http://www.eiseverywhere.com/2018-SIAAB-Conference>

Optional Springfield IIA Chapter Seminar on Thursday, October 24, 2018:

<http://www.eiseverywhere.com/IT-Auditing-Non-IT>

### CANCELLATION POLICY

Written notice of cancellations received on or before **October 19, 2018**, will be fully  
refunded. After **October 19, 2018**, cancellation refund requests will be considered on  
a case-by-case basis. Substitution of registrants is allowed.

#### PLEASE NOTE

Registration for this conference **will be closed at noon on October 19, 2018**.  
Registrations after the closing date will be added as cancellations are received. If you  
wish to be placed on a waiting list for this conference, please contact H. Jay Wagner  
at 217-524-4094 or at [HWagner@atg.state.il.us](mailto:HWagner@atg.state.il.us)